



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09 316,697	05 21 1999	HONGYONG ZHANG	07977/103002	8187

20985 7590 11 19 2002

FISH & RICHARDSON, PC
4350 LA JOLLA VILLAGE DRIVE
SUITE 500
SAN DIEGO, CA 92122

EXAMINER

QI, ZHI QIANG

ART UNIT	PAPER NUMBER
----------	--------------

2871

DATE MAILED: 11/19/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/316,697

Applicant(s)

ZHANG, HONGYONG

Examiner

Mike Qi

Art Unit

2871

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 31 October 2002.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,33-36,54-58 and 77-95 is/are pending in the application.
- 4a) Of the above claim(s) 77-90 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,33-36,54-58 and 91-95 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☒ Certified copies of the priority documents have been received in Application No. 08/768,066.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

Art Unit: 2871

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 33-36, 54-58 and 91-95 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 5,200,847 (Mawatari et al) in view of US 5,619,358 (Tanaka et al).

Claims 1, 54 and 91, Mawatari discloses (col. 5, line 55 - col. 8, line 55 and Figs. 3,4,6) a structure of a liquid crystal display comprising:

- a pair of substrates (101, 102) opposed to each other;
- a plurality of scanning lines (104) extending over the first substrate (101) in x direction (a first direction);
- a plurality of data lines (105)(signal lines) extending over the first substrate (101) in Y direction (a second direction);
- a plurality of thin film transistor (TFT 106) disposed at each intersection of the scanning lines (103) and the data lines (105);
- a plurality of pixel electrodes (107) electrically connected to the thin film transistors (TFT 106);

Art Unit: 2871

- a gate insulating film (109) (an interlayer insulating film or a first insulating film) disposed between the scanning lines (104) and the signal lines (105), because the gate insulating film (109) covering the scanning line (104) and the data line (105) (signal line) is arranged on the gate insulating film (109) (col.6, lines 9-10, 20-21);
- a sealing member (103) disposed at a periphery of the substrates (101, 102); (concerning claim 54)
- a driver circuit (120, 121) comprising driver elements (118, 119) including many thin-film transistors (120a, 121a) (at least one half thin film transistor) formed over the first substrate (101) and disposed within a region surrounded by the sealing member (103).

Mawatari does not expressly disclose that a first and a second plurality of conductive layers interposed between the first substrate and the sealing member, the first conductive layers comprising a same material as scanning lines, the second conductive layers comprising a same material as signal lines, and a second insulating film disposed between the first and the second conductive layers wherein the insulating film comprising a same material as the interlayer insulating film, and the first and second conductive layers are arranged in turn and are not overlapped.

However, Tanaka discloses (col. 4 line 50 - col.10 line 40 and Figs 1-11) that each substrate includes dummy electrodes (27a, 27b) on the liquid crystal layer side to keep the thickness of the liquid crystal layer uniform; in which the dummy electrode is composed of first and second conductive films (25, 26), and interposed between substrate (21) and sealing member

Art Unit: 2871

(29), and are arranged in turn and are not overlapped; in which a gap is provided between the first and second conductive layers, and the second conductive film is electrically isolated from the first conductive film. Tanaka discloses (col.5, lines 9-14) that the second conductive film does not have any portion electrically connected with the first conductive film and display electrode, so that an electrolytic corrosion generated in the second conductive film is prevented from propagating into the first conductive film and display electrode through electrically connected portion.

Concerning the material of the first conductive layer is a same material as scanning lines and the material of the second conductive layer is a same material as signal lines were common and known in the art as the conductive properties using same conductive material would simplify the manufacture process. The material of the scanning lines and the signal lines must be conductive material. The invention is to make the sealing material uniform. Using same material would be easier for the manufacture process and the same material would have same property to keep the sealing material uniform, since the conductive layers extend the same direction as the scanning lines and the signal lines, and that would have been at least obvious. Concerning using same material as the material of the insulating films were common and known in the art as the electrically isolating property and simplify the manufacture process.

Tanaka indicated (col.5, lines 20-24, lines 47-50) that since the first and second conductive films are disposed in the contacting region, so that the thickness of the liquid crystal layer near the sealing member is uniform, and the display quality is enhanced.

Art Unit: 2871

Therefore, it would have been obvious to those skilled in the art at the time the invention was made to arrange such first and second conductive layers as claimed in claims 1, 54 and 91 for achieving uniform thickness and enhancing the display quality.

Claims 33, 55 and 92, the thin film transistors using top-gate type or bottom-type were common and known in the art depending on the different applications and that would have been at least an obvious variations.

Claims 34, 56 and 93, the channel region of the thin film transistor using crystalline structure were common and known in the art as the crystalline silicon film would have a high speed for the driving operation, and that would have been at least an obvious variations.

Claims 35-36, 57-58 and ~~94-95~~, Tanaka discloses (col.5, lines 6-23) that the second conductive film does not have any portion electrically connected with the first conductive film and display electrode (such as the scanning lines and signal lines), i.e., electrically isolated, so that an electrolytic corrosion generated in the second conductive film is prevented. Therefore, it would have been obvious to those skilled in the art at the time the invention was made to arrange the first and second conductive layers isolated from the scanning lines and the signal lines for preventing the electrolytic corrosion.

Response to Arguments

3. Applicant's arguments filed on Oct.31, 2002 have been fully considered but they are not persuasive.

Art Unit: 2871

Applicant's **only** arguments are as follows:

1) The first conductive layer is the same material as the scanning lines and the second conductive layer is the same material as the signal lines do not disclose any suggestion and teaching.

Examiner's responses to applicant's **only** arguments are as follows:

2) The material of the scanning lines and the signal lines must be conductive material. The invention is to make the sealing material uniform. Using same material would be easier for the manufacture process and the same material would have same property to keep the sealing material uniform, since the conductive layers extend the same direction as the scanning lines and the signal lines, and that would have been at least obvious common sense.

Conclusion

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a).

Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR

Art Unit: 2871

1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mike Qi whose telephone number is (703)308-6213 .

Mike Qi
November 12, 2002

